

## CORPORATE CLIENTS

1. Certificate of incorporation;
2. Recent bank statement as:
  - Proof of business address;
  - Proof of bank account and swift code and
  - Proof of funds and recent bank activity.
3. Corporate Tax ID number and LEI;
4. Description of business activities and source of funds;  
Sometimes information provided with the application is not sufficient.
5. Identity and address proof of each director and ultimate beneficial owners with more than 25% of the capital\*;
6. TTCA letter;
7. Elective Professional Notice letter (if the client is classified 'Per Se Professional', this letter is not requested);
8. Proof that the client (and/or the Attorney) meet the criteria to become a professional client (at least 2 out of 3)

a) I have carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter over the previous four quarters; and/or

b) I have an investment portfolio (cash deposits and financial instruments), which exceeds €500,000; and/or

c) I work or have worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions or services envisaged.

Usually, clients submit bank statement copies and trading statements.

KYC documents should be valid on the date of submission.

\*As proof of identity we can accept one of the following documents in color:

- Passport or ID card (front and back) or Driving Licence card (front and rear).

\*As proof of address we can accept one of the following documents:

- Utility bill posted to the address within the last three months (gas, water, electricity, council tax);
- Bank statement/credit card bill issued within the last three months;

We can accept either a copy of a paper statement or a screenshot of an online statement, as long as we receive it as a screenshot with the URL included in the same screenshot.

**For companies incorporated outside of the United Kingdom, we require the following additional documents:**

9. Memorandum and articles of association;
10. Recent **official** registry of active directors;
11. Recent **official** registry of members (shareholders);
12. Is the company financially regulated? If yes, what are the name of regulator and regulated activities? Please submit supporting documents.

Further documents and information may be required, based on the initial KYC and AML screenings, risk analyses and the ongoing monitoring process.